

IFV

MAIL STOP DD

PATENT

Agent's Docket No. **12314-US**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of)
MARQUET, Bertrand et al.)
Serial No: 10/045,048) Art Unit: 2134
Filed: January 15, 2002) Examiner: MORSE, Gregory Allan
For: COMPARTMENTED MULTI OPERATOR NETWORK MANAGEMENT

November 15, 2004

Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Please find enclosed for filing:

- Information Disclosure Statement By Applicant – Form PTO/SB/08b
(with prior art documents attached)
- Statement under 37 CFR 1.97(e)

Respectfully submitted,

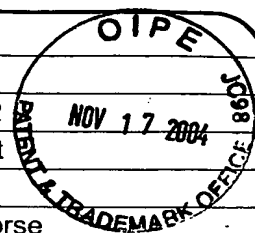
BY: 

George M. MacGregor
Reg. No. 37,547
Agent of Record

MARKS & CLERK
P. O. Box 957, Station B
Ottawa, Ontario Canada K1P 5S7 (613) 236-9561

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/045,048
				Filing Date	January 15, 2002
				First Named Inventor	Bertrand Marquet
				Art Unit	2134
				Examiner Name	Gregory Allan Morse
Attorney Docket Number	12314-US				
Sheet	1	of	1		



NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"A User-Mode Port of the Linux Kernel", Jeff Dike, Proceedings of the 4th Annual Linux Showcase & Conference, XP002235363, www.linuxshowcase.org/2000/2000papers/papers/index.html	
		"User-mode Linux", Jeff Dike, Proceedings of the 5th Annual Linux Showcase & Conference, XP002235364, www.linuxshowcase.org/2001/tech.html	
		"Linux on the System/390", Adam Thornton, Sine Nomine Associates, 4th Annual Linux Showcase & Conference, XP002235365, http://www.linuxshowcase.org/2000/2000papers/papers/	
		"Slicing the AS/400 With Logical Partitioning: A How to Guide", Gottfried Schimunek et al., XP002235366, www.redbooks.ibm.com/pubs/pdfs/redbooks/sg245439.pdf	
		"On the Architectural Support For Logical Machine Systems", Shang Rong Tsai et al., Microprocessing and Microprogramming, Elsevier Science Publishers, pg 81-96, XP000284881	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
12314-US

In Re Application Of: **Bertrand MARQUET et al**

Serial No.
10/045,048

Filing Date
January 15, 2002

Examiner
Gregory Allan MORSE

Group Art Unit
2134

Invention:

COMPARTMENTED MULTI OPERATOR NETWORK MANAGEMENT

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.


Signature

Dated: 16-November-2004

George M. MacGregor 37,547

I certify that this document is being deposited on
with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC: